

#### COMPANY BROCHURE

# NORRENBERGER SECURITIES LIMITED

SECURITIES TRADING | PORTFOLIO MANAGEMENT | VALUE CREATION









# Capito Bond Equit Exchange Trading Principal Stock hares

# ABOUT US

Norrenberger Securities Limited is the arm of The Norrenberger Financial Group which is registered as a 'Broker/Dealer' by the Securities & Exchange Commission (SEC) and licensed as a 'Trading License Holder' by the Nigerian Exchange Group (NGX).

Norrenberger Securities Limited is also a participating institution of the NASD OTC Exchange which lists equities that comply with the minimum requirements of SEC.

Norrenberger Securities Limited deals on multiple exchanges, trading fixed income instruments, shares of listed and unlisted companies, and hybrid financial products. As a member of a diversified financial services group, the company prides itself on a multidisciplinary team of professionals who know how to deliver successful outcomes



# ABOUNT NORRENBERGER FINANCIAL GROUP

Norrenberger is an industry leading, Integrated Financial Services Group that provides individuals and institutions with a comprehensive range of financial products and services including Asset Management, Investment Banking, Pensions, Securities Trading, Insurance and Development Finance; tailored to meet and exceed our clients' needs and expectations.

We are guided by our mission to positively unlock the opportunities in the society for our clients and stakeholders. We are passionate about the strength of our brand and our vision – to simplify wealth creation for our clients and our people, through the benefits we bring and the results we achieve. To do this, we work by a set of values that enable us to live out our purpose of empowering the people and organization we work with.

At Norrenberger, we unlock opportunities in our society by providing cutting edge investment options and access to alternative financing which in turn allows people to bring their innovative ideas to life and expand their existing businesses. Our focus is to continue to create financial asset classes targeting the need of every single household in Nigeria. By 2025, we hope to have delivered at least one financial solution to every household.

Our component companies are licensed and regulated either by the Central Bank of Nigeria (CBN), Securities and Exchange Commission (SEC), Nigerian Exchange Limited (NGX), National Pension Commission (PENCOM) or National Insurance Commission (NAICOM).





## VISION

To simplify wealth creation.

### MISSION

To positively unlock opportunities in the society.

FRIENDLINESS

Friendliness is the bedrock on which we build ourrelationships.



#### INNOVATION

We are dedicated to evolving as a people and providing better and improved solutions that add value to our clients.



#### RESPONSIVENESS

We are responsive to ourclient's needs and inquiries. Providing solutions and resolutions quickly and efficiently. SIMPLICITY

We have simplified our solutions, making them easy for our clients to adopt and implement.

#### TRUST

Trust is at the core of who we are. On this, we are uncompromising.



The Service Offerings by Norrenberger Securities Limited include:

> Primary Market Agency



Secondary Market Securities Trading

Other Value-Adding Services

Our services are targeted at the general investing public ranging from Retail to High Net-worth Individuals, private and public institutional clients, such as Pension Fund Administrators (PFAs), Fund Managers, Asset Managers, etc.

# OUR SERVICES







## PRIMARY MARKET AGENCY

Norrenberger Securities Limited acts on behalf of principal investors including Individuals, Corporate, or Government entities in primary issues.



#### **Broker to Issues:**

Norrenberger Securities Limited serves as a broker to public issues of company shares, mutual fund offering, and all brokerage services.

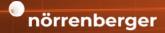
#### Debt Management Office (DMO) Distribution Agent:

Norrenberger Securities Limited is a licensed distribution agent of the Federal Government Savings Bonds and other Fixed Income instruments.



#### Growth Board Designate Advisory Services:

Norrenberger Securities Limited offers this service specifically to small & medium scale companies to provide the required guidance for the companies to remain quoted on the NGX





# SECONDARY MARKET SECURITIES TRADING



This involves buy/sell of existing securities such as Stocks, Government and Corporate Bonds, Exchange Traded Funds (ETFs), for or on behalf of Clients on secondary market trading platforms such as The NGX. We also carry out secondary market trading on the NASD/OTC equity platform for unquoted shares.



~~**;** 

<u>رچا</u>

 $\langle \hat{\mathbf{O}} \rangle$ 

## VALUE-ADDED SERVICES

We offer other services to add value to our clientele. Such services include but are not limited to:

ALUE-ADDE

**Share Transmission:** This is a wealth transfer service which involves the conversion of shares/ investments owned by a deceased investor into an estate account for the benefit of the deceased's estate.



**Nominal Transfers:**This service involves the transfer of shares from one related party (Transferor) to another (Transferee) such as parent(s) to children, Company to Director, etc. through The NGX.

**Registrar Liaison Services:** Norrenberger Securities offers a range of liaison services with Registrars such as share certificate verification, collection of outstanding shares certificates, e-dividend mandate form processing, correction or change of name and address, etc. Securities Investment Advisory: Norrenberger Securities carries out in-depth market research, technical and fundamental analysis of securities to provide investment advice and recommendations to clients across all segments and assist them in achieving their investment objectives.

**CSCS Liaison Services:** These services include processing of inter-member transfers, Global Search for investors' shareholding, correction or change of name and address at CSCS, CSCS accounts merger, provision of CSCS statements, etc.

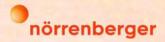


Heritage Services: Our Heritage Service is designed to assist you in tracking, accessing and reclaiming lost shares and outstanding bonuses from quoted companies in Nigeria. It also aids transmission of deceased accounts to the named administrators on behalf of the estate whilst ensuring all unclaimed dividends and bonuses are not lost. nörrenberger

14

# ACCOLADES





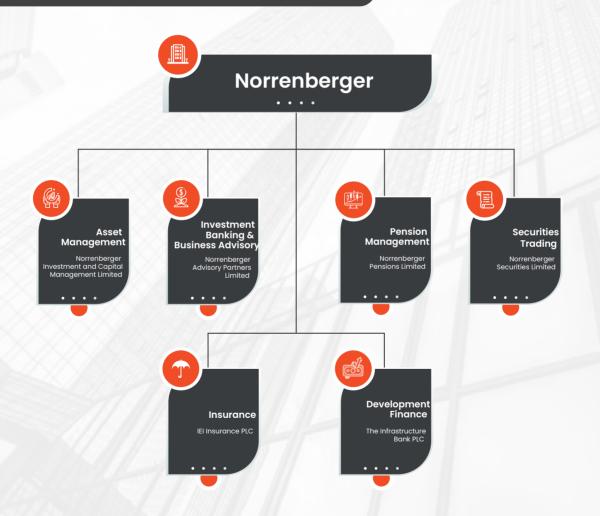
# YOUR FUTURE DOES NOT NEED TO BE FOGGY.

Remove uncertainty and catch a glimpse of a beautiful future. With world-class financial investment guidance from Norrenberger, there will be no question about your financial future.



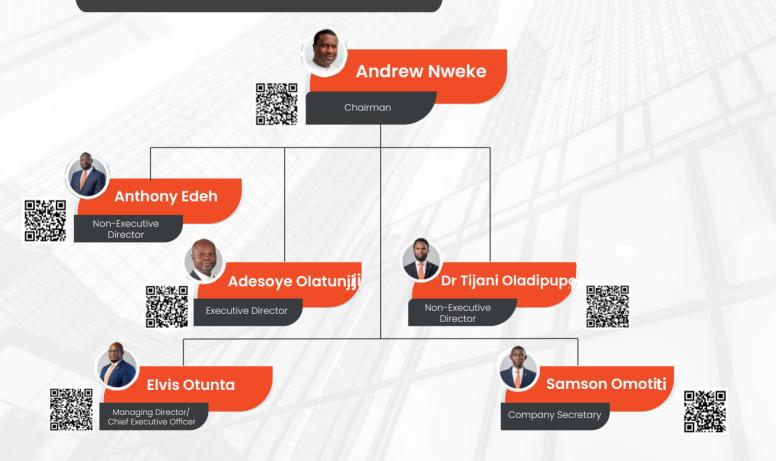


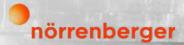
# OUR GROUP STRUCTURE





## OUR BOARD STRUCTURE





# The light at the end of the tunnel should uplift you.

At Norrenberger, we are interested in you. With our solid experience in financial services, we are well positioned to help you meet your financial needs today, and tomorrow.

Let's build a bright financial future together.



#### **Head Office**

No. 11 Volta Street, Off Thames Street, Ministers Hill, Maitama, Abuja, Nigeria.

+234 (0) 908 781 2026

+ www.norrenberger.com

#### Lagos Office

4th Floor, FF Towers, 13/14 Ligali Ayorinde Street, Victoria Island, Lagos, Nigeria.

+234 (0) 813 864 1702

Securities@norrenberger.com

#### **Port Harcourt Office**

10, Evo Road, GRA II, Port Harcourt, Rivers State, Nigeria. +234 (0) 908 781 2026

for an arrent of the formation of the fo